

## SUPPLEMENTARY MATERIAL

## Patient characteristics

|   | Population prospectively followed-up |                   |                  | EHRead population |                  | Prev. population |                |                   |
|---|--------------------------------------|-------------------|------------------|-------------------|------------------|------------------|----------------|-------------------|
|   | Severe Asthma                        | Non-Severe Asthma | Total population | Severe asthma     | Total population | Total            | Severe asthma  | Non-Severe Asthma |
| <b>N</b>                                      | 233                                  | 127               | 360              | 7821              | 87315            | 692              | 169            | 523               |
| <b>Age in years, mean (SD)</b>                | 56.9<br>(15.2)                       | 50.7<br>(17.4)    | 54.7<br>(16.3)   | 55.5<br>(19.8)    | 49.1<br>(19.9)   | 53.1<br>(21.3)   | 62.9<br>(17.5) | 50.0<br>(21.9)    |
| <b>IMC, mean (SD) (kg m<sup>2</sup>)</b>      | 29.4 (6.2)                           | 27.5 (6.2)        | 28.7 (6.3)       | -                 | -                | -                | -              | -                 |
| <b>Asthma diagnosis age, years, mean (SD)</b> | 35.3<br>(17.4)                       | 34.8<br>(19.6)    | 35.1 (18.2)      | -                 | -                | 34.2<br>(21.4)   | 39.5<br>(23.0) | 31.3<br>(22.1)    |
| <b>Sex, female, n, (%)</b>                    | 163<br>(70,6%)                       | 84<br>(66,7%)     | 247 (69,2%)      | 5,636<br>(72.1%)  | 57306<br>(65.6%) | 486<br>(70.0%)   | 119<br>(70.0%) | 367<br>(70.2%)    |
| <b>Smoking Status:</b>                        |                                      |                   |                  |                   |                  |                  |                |                   |
| - <b>Never smoker</b>                         | 155<br>(67.1%)                       | 78<br>(61.9%)     | 233 (65.3%)      | 1,314<br>(16.8%)  | -                | -                | -              | -                 |
| - <b>Ex-smoker</b>                            | 69<br>(29.9%)                        | 31<br>(24.6%)     | 100 (28%)        | 772<br>(9.8%)     | -                | -                | -              | -                 |
| - <b>Current smoker</b>                       | 7 (3%)                               | 17<br>(13.5%)     | 24 (6.7%)        | 2,414<br>(30.8%)  | -                | -                | -              | -                 |
| <b>Family asthma history</b>                  | 98<br>(42.4%)                        | 51<br>(40.5%)     | 149 (41.7%)      | -                 | -                | -                | -              | -                 |
| <b>Respiratory allergy</b>                    | 122<br>(52.8%)                       | 76<br>(60.3%)     | 198 (55.5%)      | -                 | -                | 346<br>(53.1%)   | 63<br>(37.3%)  | 283<br>(54.1%)    |
| - <b>Perennial</b>                            | 93<br>(76.2%)                        | 46<br>(60.5%)     | 139 (70.2%)      | -                 | -                | -                | -              | -                 |
| - <b>Seasonal</b>                             | 29<br>(23.8%)                        | 30<br>(39.5%)     | 59 (29.8%)       | -                 | -                | -                | -              | -                 |
| <b>Comorbidities:</b>                         |                                      |                   |                  |                   |                  |                  |                |                   |
| - <b>None</b>                                 | 18 (7.7%)                            | 19 (15%)          | 37 (10.3%)       | -                 | -                | -                | -              | -                 |
| - <b>Atopy</b>                                | 41<br>(17.6%)                        | 24<br>(18.9%)     | 65 (18.1%)       | -                 | -                | -                | -              | -                 |
| - <b>Chronic Rhinitis</b>                     | 65<br>(27.9%)                        | 16<br>(12.6%)     | 81 (22.5%)       | 194<br>(2.5%)     | -                | -                | -              | -                 |
| - <b>Allergic Rhinitis</b>                    | 74<br>(31.8%)                        | 52<br>(40.9%)     | 126 (35%)        | 1395<br>(17.8%)   | -                | -                | -              | -                 |
| - <b>Anxiety</b>                              | 37 (15.9%)                           | 14 (11%)          | 51 (14.2%)       | 303<br>(3.9%)     | -                | -                | -              | -                 |
| - <b>Depression</b>                           | 40<br>(17.2%)                        | 11 (8.7%)         | 51 (14.2%)       | 1294<br>(16.5%)   | -                | -                | -              | -                 |
| - <b>Urticaria</b>                            | 16 (6.9%)                            | 7 (5.5%)          | 23 (6.4%)        | 753<br>(9.6%)     | -                | -                | -              | -                 |
| - <b>Asthma COPD overlap (ACO)</b>            | 9 (3.9%)                             | 0 (0%)            | 9 (2.5%)         | 1216<br>(15.5%)   | -                | -                | -              | -                 |
| - <b>Nasal polyps</b>                         | 47<br>(20.2%)                        | 9 (7.1%)          | 56 (15.6%)       | 766<br>(9.8%)     | -                | -                | -              | -                 |
| - <b>Obesity</b>                              | 63 (27%)                             | 20<br>(15.7%)     | 83 (23.1%)       | 1451<br>(18.6%)   | -                | -                | -              | -                 |
| - <b>Diabetes</b>                             | 23 (9.9%)                            | 8 (6.3%)          | 31 (8.6%)        | 1662<br>(21.3%)   | -                | -                | -              | -                 |
| - <b>NSAID Hypersensitivity</b>               | 21 (9%)                              | 7 (5.5%)          | 28 (7.8%)        | 870<br>(11.1%)    | -                | -                | -              | -                 |
| - <b>Gastroesophageal Reflux Syndrome</b>     | 55<br>(23.6%)                        | 27<br>(21.3%)     | 82 (22,8%)       | 902<br>(11.5%)    | -                | -                | -              | -                 |

Table S1. Patient characteristics of the three study populations- '-': Missing data

## Descriptive Machine Learning results

To be included in the severe asthma study population, at least one of the following parameters was present:

- "Severe asthma" terms appeared as such in the EHR (N= 1406; 18%).
- Asthma requiring the use of high doses of ICS, and: a LABA, LAMA, anti-leukotriene, or theophylline in the last 12 months (since the first identifiable asthma diagnosis in EHR) (N= 3614; 46.2%).
- Asthma with continuous treatment with systemic glucocorticoids for 50% or more of the previous year ((since the first identifiable asthma diagnosis in EHR). (N= 3943; 50.4%).
- Patients with asthma undergoing asthma therapy with biologics, except patients treated with biologics without ICS-LABA at high doses. (N= 209; 2.7%)

The percentage of patients who met one or more of the conditions mentioned above was as follows: 1 condition (N= 6712; 85.8%); 2 conditions (N= 918; 11.7%); 3 conditions (N= 140; 1.8%) and 4 conditions (N= 51; 0.7%).

### 9.1.2. Prevalence

The prevalence of asthma and severe asthma are shown in Table S2. The period prevalence was measured at the midpoint of the study period (excluding deaths and patients lost to follow-up one year or more before the midpoint). The date "midpoint of the study period" was 15th Jun 2016.

**Table S2. The estimated prevalence of asthma and severe asthma.**

|   | Calculation        | Prevalence |
|---|--------------------|------------|
| Total hospital population*  | 1,681,343          | NA         |
| Patients with asthma (adults)** / Total hospital population         | 46,964 / 1,681,343 | 2.8%       |
| Patients with severe asthma (adults)*** / Total hospital population | 4,571 / 1,681,343  | 0.3%       |
| Patients with severe asthma (adults)/ Patients with asthma (adults) | 4,571 / 46,964     | 9.7%       |

Prevalence was analyzed as period prevalence, measured at the midpoint of the study, excluding deaths and patients lost to follow-up one year or more before the midpoint.

\* Patients who visit the study hospitals at least once between 15th Jun 2015 and 15th Jun 2016 (half of the study period) and who do not die during that period.

\*\* Patients with asthma record between 15th Jun 2015 and 15th Jun 2016 (half of the study period).

\*\*\* Patients with severe asthma record between 15th Jun 2015 and 15th Jun 2016 (half of the study period).

## Predictive model pipeline

The predictive pipeline relies on big data analytics and combines advanced statistics and machine learning tools in the deep-learning spectrum. We developed a prediction model using multivariable logistic regressions, random forests, and decision tree classifiers, which provided an equation or criteria, respectively, to predict an individual's risk for a specific event based on their clinical information.

The pipeline used for the generation of the predictive models presented here includes the following steps:

1. Creation of a table containing a subset of the aggregated database. This table represents a subset of the aggregated database produced via the NLP pipeline and only includes data from the severe asthma population. In this table, each row represents a single (anonymous) patient, and columns contain the variables included in the model. This table contains all the data that was processed to generate the model.
2. Optimization of the table containing a subset of the aggregated database. Because not all variables were available for each patient included in the study, missing values in the table were processed and dealt with before any algorithm was implemented. In this process, we distinguished three types of variables, namely binary variables (yes/no presence of the variable or term), numeric variables (i.e., laboratory values), and multi-class variables (i.e., asthma control: good, bad, or regular).
  - a) Missing values. For numeric variables, all variables with a relative percentage of missing data (i.e., missing observations for that variable) > 50% were removed from further analyses and therefore not included in the predictive model. This step was aimed at eliminating statistical noise when predicting the desired outcome. Variables excluded encompass the following:
    - FEV1 preBD (%)
    - FEV1/FVC preBD (%)
    - FVC preBD (%)
    - FeNO (fractionated exhaled nitric oxide level)
    - Eosinophils
    - BMI
    - Weight
    - Neutrophils
    - FEV1 preBD (cc)
    - FVC preBD (cc)
    - Total IgE
    - FEV1 postBD (%)
    - FVC postBD (%)
  - b) Imputation of missing data. The procedures used for the imputation of missing data vary across variable types:
    - For binary variables, missing data were treated as true '0' values (i.e., no apparition of the variable)
    - For numeric variables, missing data were filled with the median value for existing data
    - For multi-class variables, missing data were filled with the median value for existing data

- c) Definition of dependent and independent variables. In this step, the variables that the model aimed to predict (i.e., dependent variables) were removed from the list of potential predictors (i.e., independent variables).
- d) Feature selection algorithm (dimensionality reduction). To guarantee that the model's output was easily interpretable from a clinical standpoint, the optimal number of independent variables (or features) must be between 10 and 20. Several feature extraction algorithms were used to obtain the right amount of features, including
- Random forest used to extract the top 10-20 variables to be included in the prediction
  - Recursive Feature Elimination algorithms, based on decision trees or logistic regression
  - Mutual information algorithms.
- e) Prediction algorithms. The final stage in the generation of the algorithm involved the following procedures:
- Split of the data. To train and validate the models, the dataset was separated into a 70/30 training/validation split. Meaning that 70% of the data was used to train and fine-tune the weights of the variables and the remaining 30% was used to validate or test the model's performance.
  - Data augmentation. Upsampling or downsampling techniques were used to balance the number of positive and negative cases for each given outcome. This step was performed before training the model.
  - Output. Three types of models were trained based on their clinical interpretability: random forest, decision trees and logistic regression.

Finally, the predictive models were assessed in terms of F1-value, precision, recall, and accuracy.

$$F1 - score = \frac{2XPrecisionXRecall}{Precision + Recall}$$

| EVENT TO PREDICT             | Models' performance |             |               |             |               |             |
|------------------------------|---------------------|-------------|---------------|-------------|---------------|-------------|
|                              | Logistic Regression |             | Random Forest |             | Decision Tree |             |
|                              | 6 M                 | 12 M        | 6 M           | 12 M        | 6 M           | 12 M        |
| <b>Add-on biologics</b>      | <b>0.78</b>         | <b>0.76</b> | <b>0.78</b>   | <b>0.76</b> | <b>0.78</b>   | <b>0.75</b> |
| <b>In-hospital mortality</b> | <b>0.80</b>         | <b>0.75</b> | <b>0.81</b>   | <b>0.78</b> | <b>0.77</b>   | <b>0.71</b> |
| Exacerbations                | 0.54                | 0.54        | 0.57          | 0.51        | 0.54          | 0.47        |
| Change of treatment          | 0.51                | 0.53        | 0.50          | 0.51        | 0.51          | 0.57        |
| Asthma-related visits to ER  | 0.55                | 0.53        | 0.57          | 0.57        | 0.48          | 0.5         |
| Asthma control               | 0.39                | 0.4         | 0.39          | 0.4         | 0.39          | 0.4         |

Table S3. F-1 Score of Savana predictive models on the study population. A robust score is considered when the performance is >0.7.

## Determinant factors to support clinicians' predictions

| Percentage of investigators that marked determinant factors as supporting factors for their predictions |               |                   |                  |
|---|---------------|-------------------|------------------|
|   | Severe Asthma | Non-Severe Asthma | Total population |
| FEV1  | 87.6%         | 85.0%             | 86.7%            |
| Biologic treatment addition   | 33.5%         | 26.8%             | 31.1%            |
| Eosinophils (blood or sputum)   | 47.2%         | 41.7%             | 45.3%            |
| %FEV1 reversibility   | 59.7%         | 59.1%             | 59.4%            |
| Concomitant diseases  | 44.6%         | 39.4%             | 42.8%            |
| ACT   | 68.2%         | 66.9%             | 67.8%            |
| Exacerbation number   | 91.8%         | 84.3%             | 89.2%            |
| Comorbidities   | 67.4%         | 59.8%             | 64.7%            |
| Hospitalization number  | 72.5%         | 67.7%             | 70.8%            |
| Rescue medication use   | 82.4%         | 82.7%             | 82.5%            |
| Asthma symptoms   | 66.1%         | 65.4%             | 65.8%            |
| Emergency visits  | 76.4%         | 75.6%             | 76.1%            |
| Treatment changes   | 53.6%         | 58.3%             | 55.3%            |
| Tobacco use   | 39.1%         | 42.5%             | 40.3%            |
| Mortality   | 16.7%         | 14.2%             | 15.8%            |
| ICS dose  | 57.1%         | 58.3%             | 57.5%            |
| Need of OCS   | 74.7%         | 64.6%             | 71.1%            |
| Inhaler technique   | 41.6%         | 45.7%             | 43.1%            |
| FeNO  | 60.9%         | 54.3%             | 58.6%            |
| BMI   | 33.5%         | 32.3%             | 33.1%            |
| COPD  | 20.2%         | 21.3%             | 20.6%            |
| Adherence to treatment  | 61.8%         | 60.6%             | 61.4%            |
| GINA therapeutic step   | 40.3%         | 40.2%             | 40.3%            |
| Sex   | 6.9%          | 7.1%              | 6.9%             |
| Race  | 0.4%          | 0.0%              | 0.3%             |
| Education level   | 13.7%         | 10.2%             | 12.5%            |
| Rural lifestyle   | 10.7%         | 11.0%             | 10.8%            |

Table S4. Factors that support clinicians' predictions.

These were the features that investigators marked as relevant when establishing their predictions on patient's future clinical course.

## The univariate Odds ratio for add-on biologics at 6 months

| <b>Variable</b>                 | <b>Estimate (95% CI)</b> | <b>p-value</b> |
|---------------------------------|--------------------------|----------------|
| <i>Atopy</i>                    | 6.34 (4.21, 9.36)        | 0.000**        |
| <i>Montelukast</i>              | 4.59 (3.48, 6.10)        | 0.000**        |
| <i>Nasal polyps</i>             | 3.97 (2.93, 5.35)        | 0.000**        |
| <i>Tiotropium bromide</i>       | 2.95 (2.21, 3.91)        | 0.000**        |
| <i>Theophylline</i>             | 4.11 (2.47, 6.57)        | 0.000**        |
| <i>Chest CT</i>                 | 1.9 (1.32, 2.69)         | 0.000**        |
| <i>Systemic glucocorticoids</i> | 1.64 (1.23, 2.21)        | 0.001**        |
| <i>Formoterol</i>               | 0.71 (0.32, 1.39)        | 0.372          |
| <i>Sinusitis</i>                | 3.28 (2.35, 4.53)        | 0.000**        |
| <i>Fluticasone</i>              | 3.79 (2.56, 5.50)        | 0.000**        |
| <i>Atrial fibrillation</i>      | 0.21 (0.07, 0.51)        | 0.000**        |
| <i>Arterial hypertension</i>    | 0.41 (0.30, 0.55)        | 0.000**        |
| <i>Heart failure</i>            | 0.37 (0.20, 0.66)        | 0.000**        |

Table S5. Variables that influence the prediction of whether a patient will be prescribed an add-on therapy with biologic therapies in the next 6 months. Positive variables increase likelihood of event whereas negative variables decrease it. \*\*Coefficients must not be interpreted directly: for the calculation of probabilities, a logistic function must be applied to the result of the model for proper interpretations.

## The univariate Odds ratio for Mortality at 6 months

| <b>Variable</b>                 | <b>Estimate (95% CI)</b> | <b>p-value</b> |
|---------------------------------|--------------------------|----------------|
| <i>Depression</i>               | 2.88 (0.76, 9.59)        | 0.062          |
| <i>Diabetes</i>                 | 2.04 (0.54, 6.78)        | 0.197          |
| <i>Systemic glucocorticoids</i> | 1.92 (0.55, 8.41)        | 0.294          |
| <i>Myocardial infarction</i>    | 3.51 (0.80, 12.20)       | 0.048**        |
| <i>Formoterol</i>               | 1.47 (0.03, 9.86)        | 0.511          |
| <i>Ipratropium bromide</i>      | 2.69 (0.77, 8.86)        | 0.096          |
| <i>Chest X-Ray</i>              | 3.73 (1.07, 16.30)       | 0.026**        |
| <i>Chest CT</i>                 | 2.30 (0.41, 8.72)        | 0.181          |
| <i>Asthma with obesity</i>      | 3.31 (0.95, 10.91)       | 0.031**        |
| <i>Smoker</i>                   | 5.48 (1.58, 23.96)       | 0.002**        |
| <i>Heart failure</i>            | 1.86 (0.33, 7.06)        | 0.409          |
| <i>Malignant neoplasms</i>      | 2.72 (0.71, 9.04)        | 0.074          |
| <i>Palpitations</i>             | 2.30 (0.41, 8.75)        | 0.179          |

Table S6. Variables that influence the prediction of in-hospital death in the next 6 months. Positive variables increase the likelihood of an event, whereas negative variables decrease it. \*\*Coefficients must not be interpreted directly: for the calculation of probabilities, a logistic function must be applied to the result of the model for proper interpretations.

## Prevalence analysis by regions.

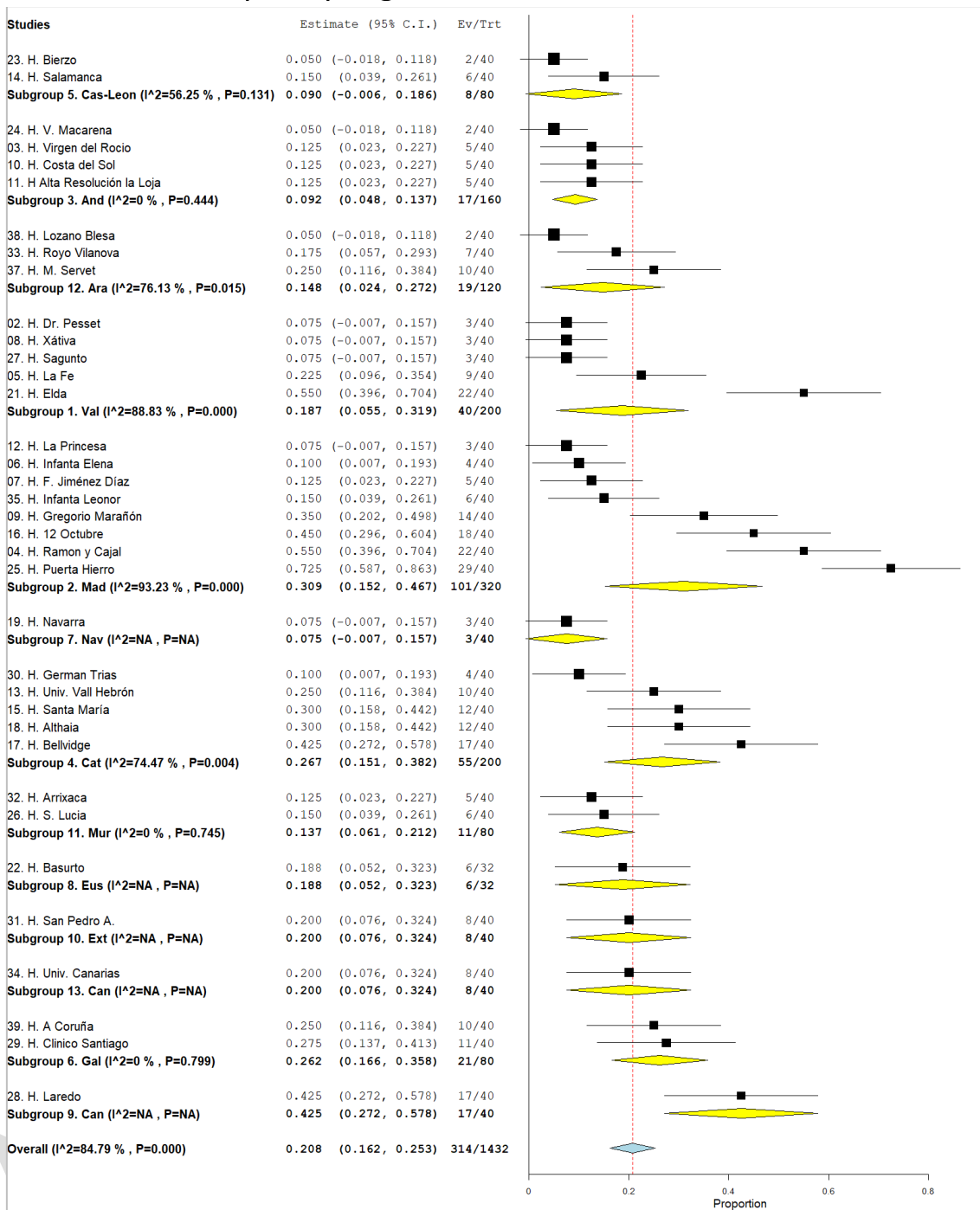


Figure S1. Forest plot of severe asthma prevalence. Subgroup analysis by regions

## Analysis of the influence of specificity on the Prevalence

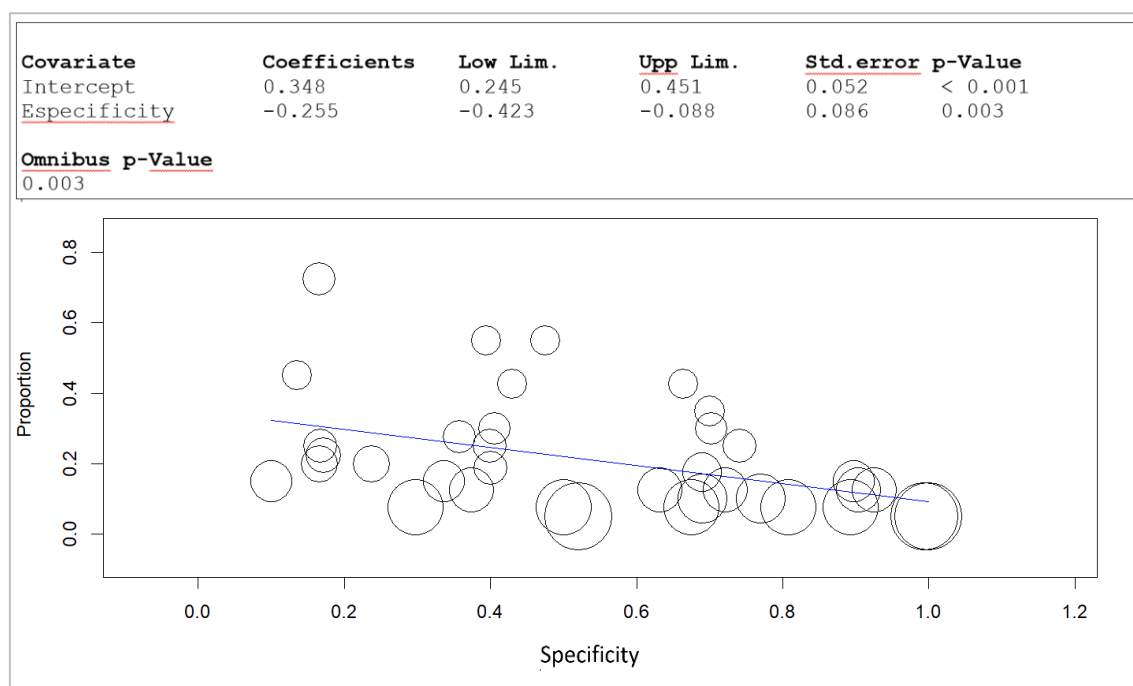


Figure S2. Analysis of the influence of Specificity on the Prevalence.

Specificity (the proportion of true asthma patients in the lists ascertained by IT services) was found to have significant influence on the prevalence. Higher specificity (higher quality of the site's eCRs classification) meant lower prevalence. Interpolating results, a 100% specificity would result in a 9,3% prevalence of Severe Asthma. However, this is a theoretical value obtained by extrapolation of the model, adjusted by Specificity.



## Clinical follow-up of prosp. Population

|  |              | Baseline     |              | P value | 6 months     |              | P value | 12 months    |              | P value |
|--|--------------|--------------|--------------|---------|--------------|--------------|---------|--------------|--------------|---------|
|  |              | SA           | NSA          |         | SA           | NSA          |         | SA           | NSA          |         |
| <b>Annualized exacerbation rate (n=196/103)*</b> | Mean (SD)    | 1.32 (2.3)   | 0.18 (0.5)   | p<0.001 | 0.39 (0.8)   | 0.17 (0.5)   | p=0.016 | 0.42 (1.1)   | 0.15 (0.7)   | p=0.026 |
| <b>Pre-BD FEV<sub>1</sub> (n=85/47)*</b>         | Mean (SD), L | 1.81 (0.7)   | 2.60 (0.8)   | p<0.001 | 1.86 (0.8)   | 2.53 (0.8)   | p<0.001 | 1.86 (0.8)   | 2.61 (0.8)   | p<0.001 |
| <b>ACT score (n=194/101)*</b>                    | Mean (SD)    | 17.00 (5.6)  | 21.51 (3.8)  | p<0.001 | 18.49 (5.1)  | 21.10 (3.8)  | p<0.001 | 18.68 (5.3)  | 21.55 (4.0)  | p<0.001 |
| <b>SGRQ score (n=173/84)*</b>                    | Mean (SD)    | 46.32 (21.7) | 24.87 (16.4) | p<0.001 | 37.74 (22.0) | 22.34 (16.8) | p<0.001 | 34.87 (23.0) | 19.37 (16.2) | p<0.001 |

Table S7. Change of clinical endpoints in patients prospectively followed-up during study period.

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