Asthma Exacerbations in a Tertiary Hospital: Clinical Features, Triggers, and Risk Factors for Hospitalization

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Abstract

Background: The risk factors for asthma exacerbations are not fully understood. The aim of this study was to determine the epidemiological and clinical characteristics of patients who experience asthma exacerbations. We also assessed potential triggers of exacerbations and possible predictors of hospitalization.

Methods: A retrospective, noninterventional cohort study was conducted in adult patients who attended the emergency department of a tertiary hospital with an asthma exacerbation during 2014.

Results: The study population comprised 831 patients (888 events). Most episodes occurred in January and May. Respiratory infection was the trigger in 523 events. In 34.21% of cases, the eosinophil count was \geq 260/mm³ (\geq 400/mm³ in 20.7%), which was significantly associated with allergic asthma (*P*<.0001). The risk factors for hospitalization were older age (OR, 1.58; 95%CI, 1.46-1.71), no previous diagnosis of asthma (OR, 1.40; 95%CI, 1.06-1.86), poorly controlled asthma (OR, 1.78; 95%CI, 1.10-2.88), respiratory infection (OR, 2.65; 95%CI, 1.95-3.62), and severe exacerbation with more treatment requirements. The rate of hospitalization was significantly lower in patients with \geq 400 eosinophils/mm³ (*P*<.001).

Conclusion: Older age, absence of a previous asthma diagnosis, uncontrolled disease, and concomitant chronic obstructive pulmonary disease are frequent among patients presenting at the emergency department with asthma exacerbations. Various features were associated with a higher risk of admission. Blood eosinophilia should be considered a marker of asthma, but not a predictor of hospitalization.

Key words: Asthma. Exacerbation. Risk of hospital admission. Eosinophilia.

Resumen

Introducción: Los factores de riesgo de las exacerbaciones de asma no se conocen por completo. El objetivo de este estudio fue determinar las características epidemiológicas y clínicas de los pacientes con exacerbaciones de asma, los potenciales factores desencadenantes y los posibles predictores de hospitalización.

Métodos: Se llevó a cabo un estudio de cohorte retrospectivo, no intervencionista, en pacientes adultos que acudieron al Servicio de Urgencias de un hospital terciario con una exacerbación de asma durante el año 2014.

Resultados: Se incluyeron 831 pacientes (888 eventos). El mayor número de episodios ocurrió en Enero y Mayo. La infección respiratoria se consideró como desencadenante en 523 eventos. 34,21% tenían \geq 260 eosinófilos/mm³ (20,7% \geq 400 eosinófilos/mm³), estando lo cual asociado significativamente con el asma alérgica (p<0,0001). Los factores de riesgo para la hospitalización fueron: edad avanzada [OR: 1,58 (IC 95%: 1,46 a 1,71)]; ausencia de diagnóstico previo de asma [OR: 1,40 (IC 95%: 1,06-1,86)]; mal control del asma [OR: 1,78 (IC 95%: 1,10-2,88)]; infección respiratoria [OR: 2,65 (IC 95%: 1,95-3,62)]; y crisis graves con mayor necesidad de tratamiento. En los asmáticos con \geq 400 eosinófilos/mm³, la tasa de hospitalización fue menor (p <0,001).

Conclusión: La edad avanzada, la ausencia de un diagnóstico de asma previo, el mal control de la enfermedad o el padecer EPOC de forma concomitante son frecuentes entre los pacientes que acuden al Servicio de Urgencias con exacerbaciones de asma. Se detectaron algunas características asociadas con un mayor riesgo de ingreso. La eosinofilia periférica debe ser considerada como un marcador de asma, pero no como un predictor de la hospitalización.

Palabras clave: Asma. Exacerbación. Riesgo de hospitalización. Eosinofilia.

Introduction

Most patients with asthma remain symptomatic despite maintenance treatment and experience exacerbations, which are indicative of poor asthma control [1]. An asthma exacerbation is defined as worsening of asthma symptoms and lung function that requires an increase in medication (including systemic corticosteroid therapy), a visit to the emergency department, or hospitalization [2]. Although some countries have seen a decline in asthma-related hospitalizations and deaths [3], the global burden of exacerbations and day-to-day symptoms has increased by almost 30% in the past 20 years [4]. In fact, exacerbations are the main cause of morbidity and mortality in patients with asthma [5], thus increasing the annual cost of treatment 3-fold [6]. Patients who have frequent exacerbations usually experience an accelerated loss of lung function [7].

Asthma exacerbations are commonly triggered by upper respiratory tract infections and/or exposure to environmental allergens and, less frequently, by other factors [8]. The specific features and conditions associated with an increased risk of exacerbations in adults include obesity, smoking, severe sinus conditions, allergy, gastroesophageal reflux (GER), repeated respiratory infections, psychiatric disorders, obstructive sleep apnea syndrome, vitamin D deficiency, nonwhite race, low socioeconomic status, and female sex [9]. Indicators of poor asthma control (eg, an exacerbation in the previous year or ≥ 3 cycles of oral corticosteroids, poor treatment adherence [10], and eosinophilia in sputum [11] or blood [12]) are considered risk factors for exacerbation.

Knowing which risk factors could lead to an exacerbation, recognizing indicators of potential severity, and establishing the most appropriate treatment and more effective preventive measures are not only necessary, but could prove indispensable for improving control of asthma. The profile of asthma exacerbations in Spain has been assessed. In 2009, the results of a study of 262 episodes of asthma exacerbation treated in a hospital emergency department (ED) and home care services in Barcelona [13] revealed that the most frequent etiology was possible viral infection of the respiratory tract, although the observation period was limited to October and November. Retrospective studies have been published on quality of care [14] and epidemiology [15]. Many relevant issues associated with exacerbations remain unresolved, and more information could help to prevent onset.

The present study was designed to assess the epidemiological and clinical characteristics, potential triggering factors, and possible predictors of hospitalization in patients (with or without a prior diagnosis of asthma) who had experienced at least 1 asthma exacerbation and were treated in the ED of a tertiary hospital in Spain.

Methods

We conducted a retrospective and observational (noninterventional) cohort study using data collected from medical records and charts at the ED of La Paz University Hospital, Madrid, Spain. This hospital is the tertiary referral center for a population of 500 000 in northern Madrid. The total number of ED visits was 211 031 in 2014 [16]. The study was approved by the local ethics committee, and permission was obtained from the hospital for the use of confidential data.

A specific search was performed following any of the International Statistical Classification of Diseases and Related Health Problems 9th Revision (ICD-9-CM) codes for asthma (493; 493.0; 493.1; 493.2; 493.8; and 493.9) [17], and a supplementary search was later performed following other possible and noncoded diagnoses, namely, bronchial asthma, asthmatic bronchitis, asthmatic crisis, acute asthma attack, and asthma exacerbation. Events in which chronic obstructive pulmonary disease (COPD) or COPD exacerbation was mentioned as a possible cause for the ED visit were excluded. An isolated diagnosis of pneumonia was also excluded. All patients aged >14 years who attended the ED with one of the aforementioned "labels" suggestive of an asthma exacerbation from January 1 to December 31, 2014 were enrolled. Data were collected by the same 4 investigators during the inclusion period. Each episode was defined as an event. After discharge from the ED or hospital and a period of 7 days of stability after resolution of an exacerbation [18,19], cases in which the same patient visited the ED less than 15 days after the previous event were classified as relapses, while visits after this 15-day period were considered new events.

For each event, 84 variables were identified for data collection and grouped under the following 5 headings: (1) patient characteristics, namely, epidemiology and comorbid conditions (including asthma diagnosis and previous level of control according to then current consensus criteria of the 2009 Spanish guideline on asthma management [GEMA]) [21], and regular treatments; (2) evaluation of the asthma exacerbation (trigger factors if they were explicitly recorded in the chart [ICD-9-CM codes 465 and 466 for respiratory infections, 477 for allergic rhinitis, 372 or 995 for allergy, and 935.8 for nonsteroidal anti-inflammatory drugs]) [17], clinical features, such as cough, wheezing, and fever), and severity of the exacerbation (defined by GEMA 2009) [20], laboratory tests (especially eosinophil count, with eosinophilia defined as \geq 260/mm³ in blood) [21]; (3) treatment administered at the ED; (4) patients' response to treatment, and subsequent outcome (discharge, observation, hospital admission, intensive care admission, or death); and (5) referral to an asthma specialist (allergist or pulmonologist) at discharge. As elevated blood eosinophil counts have been proposed as a risk factor for asthma exacerbations, we also considered a cutoff of 400/mm³, as previously reported [12].

Statistical Analysis

Quantitative data are expressed as mean (SD), maximum, and minimum. Discrete variables are presented as a frequency distribution, percentages, and, when necessary, 95% confidence intervals. The Pearson chi-square test or Fisher's exact test were used as appropriate for a univariate exploratory analysis of discrete variables. Correlated data were analyzed using a generalized linear mixed model (GLMM) with the restricted maximum pseudolikelihood method. With respect to the first objective, the "probability of the event" (for each event separately: asthma event, admission, and relapse), a random intercept, and an unstructured covariance matrix were added to the GLMM with a binomial distribution and logit link function to test the need for a random effect. If a random effect was not necessary, logistic regression was used to estimate the probability of the event. Specific epidemiological and clinical variables were then added into the model, and their relationships with the binary outcome were estimated and expressed as the odds ratio (OR). The Mann-Whitney test was used to assess the role of eosinophil level (<260/mm³) and patient age. The relationship between age and the month of the event was estimated using Spearman correlations. All tests were 2-tailed, and significance was set at P<.05. An exploratory univariate analysis was performed using IBM SPSS Statistics for Windows, Version 20.0 (IBM Corp), while GLMM analysis was carried out using SAS Enterprise Guide 5.1 (SAS Institute Inc). The procedures used were "proc glimmix" and "proc logistic".

Results

The study population comprised 831 patients (563 women), who experienced 888 episodes; 54 patients had >1 episode. Mean age was 57.3 years (range, 14-102 years). Data on patient characteristics and comorbidities are shown in Table 1. When information on variables such as obesity, GER, or confirmed nasal polyps could not be collected from a sufficient number of patients, it was not included in the final analysis. The average likelihood of relapse was 6% and that of hospitalization 32% (Table 2).

In this population, 45.7% of patients (n=380) had no previous recorded diagnosis of asthma. Among those already diagnosed with asthma (n=451), 81 were not receiving regular treatment, 108 (23.94%) used only a short-acting β -agonist (SABA) as needed, and 255 (more than half of the known asthmatic population) were on regular treatment with inhaled corticosteroids with or without long-acting β -agonists. A total of 102 patients (12.27%) had experienced at least 1 exacerbation requiring emergency care in the previous year. Only 15 patients had ever been admitted to the intensive care unit.

A blood eosinophil count was obtained from 681 patients. Overall, 233 patients (34.21% of those tested) had an eosinophil count \geq 260/mm³, whereas 141 (20.7% of the tested population) had >400/mm³. Eosinophilia was weakly associated with younger age and weakly but significantly associated with a diagnosis of respiratory allergy (*P*<.0001). The OR for this association increased by 1.16 (95%CI, 1.1-1.22) for every additional 100 cells/mm³.

The distribution of the total 888 events per month is shown in Figure 1. The frequency of episodes was highest in January and May (142 [16%] and 158 [17.8%], respectively) and lowest in July and August. However, April and November were the months with the highest rates of hospitalization (43.4% and 38.3%, respectively). The suspected etiologies of the exacerbations and their clinical characteristics are reported in Table 3. Respiratory infection was the most common trigger for exacerbation (523 episodes [58.9%]), followed by direct exposure to aeroallergens (in 70 episodes [7.9%]). The triggering agents or factors were not identified in 29% of episodes.

As for severity, 319 of the 888 exacerbations (35%) were considered moderate-to-severe, with a risk of imminent

respiratory arrest in 5 cases. The most common symptoms were dyspnea (90%) and cough (78%), mostly without expectoration (54%). Ten patients arrived with an altered level

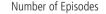
Table 1. Demographic and Clinical Features of the Study Population $(N{=}831)$

	No. (%)
Gender	563 female (67.7)/ 268 male (32.3)
Smokers	150 (18.1)
Ex-smokers	102 (12.3)
Previous diagnosis of asthma	451 (54.3)
Previous diagnosis of respiratory allergy	117 (14.1)
Previous diagnosis of COPD	114 (13.7)
Previous diagnosis of psychiatric disorders	166 (20)
Previous diagnosis of drug allergies	135 (16.2)
Previous diagnosis of high blood pressure	296 (35.6)
Previous diagnosis of diabetes mellitus	116 (14)
Previous diagnosis of dyslipidemia	131 (15.8)
Regular treatment with statins	150 (18)
Regular treatment with ACE inhibitors	138 (16.6)
Regular treatment with ß-blockers	72 (8.7)
Regular treatment with NSAIDs	27 (3.3)

Abbreviations: ACE, angiotensin-converting enzyme; COPD, chronic obstructive pulmonary disease; NSAID, nonsteroidal anti-inflammatory drug.

Table 2. Average Probability of Hospitalization, More Than One Event, and Relapse in The Study Population Estimated Using A Generalized Linear Mixed Model

Effect	Estimate	Standard Error	P Value	Mean	Standard Error of Mean
Admission	-0.7496	0.0721	<.0001	0.3209	0.01569
≥ 1 event	-0.0420	0.1052	<.0001	0.1149	0.01070
Relapse	-2.7372	0.1404	<.0001	0.06081	0.008020



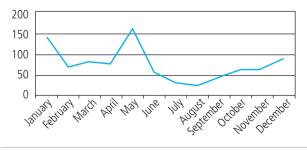


Figure 1. Monthly Distribution of Exacerbations.

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Table 3. Clinical Characteristics of the Exacerbations (N=888)

Severity	%
Mild	60
Moderate-to-severe	35
Imminent respiratory arrest	0.5
Suspected triggers	
Respiratory infection	59
Respiratory allergy	8
Physical exercise	0.8
Drug intake	0.6
Psychological factors	0.6
Food allergy	0.1
Others and unknown	28
Symptoms	
Dyspnea	90.5
Cough	78
Expectoration	46
Wheezing	43
Low level of consciousness	1.1
Chest tightness	15
Nasal symptoms	13
Ocular symptoms	4
Physical examination	
Auscultation: normal	19.5
Auscultation: wheezing	78
Auscultation: abolished sounds	2.5
Tachycardia > 99 bpm	33.5
Tachypnea>19 rpm	26.8
High temperature (>37.7°C)	3.6
Basal oxygen saturation <92%	31

120 100-80-40-20-0 Discharge Hospitalization Figure 2. Association Between Age and Hospitalization. of consciousness. Physical examination revealed wheezing in 77% of cases and absent breath sounds in only 25 patients. Baseline oxygen saturation <92% was observed in 31% of patients. Overall, 51% of the episodes required systemic corticosteroids, and 25% were treated with antibiotics. After treatment, approximately 68% of patients were discharged (8.3% after staying in an observation bay), and 259 patients (285 events [32.1%]) were admitted (6 to the intensive care unit). No fatal events due to asthma attacks were registered.

Associations between the variables of interest and hospitalization are shown in Table 4. In general, the variables associated with a higher risk of admission were older age (Figure 2), absence of a previous diagnosis of asthma or uncontrolled disease, suspected respiratory infection, severe crisis, and increased need for ED treatment. However, only 25.5% of patients with a blood eosinophil count >400/mm³ required hospitalization, compared with 44.2% of those with <400/mm³ (P<.001).

	OR	95% CI
Older age	1.58	1.46-1.71
Male gender	0.981	0.724-1.328
No previous diagnosis of asthma	1.403	1.056-1.863
Uncontrolled asthma	1.786	1.105-2.879
Mild exacerbation (vs moderate/severe)	0.091	0.065-0.128
Ex-smokers vs smokers	1.746	1.073-2.843
Previous diagnosis of diabetes mellitus	3.247	2.205-4.781
Previous diagnosis of dyslipidemia	2.020	1.401-2.912
Previous diagnosis of respiratory allergy	0.324	0.194-0.539
Previous diagnosis of drug allergies	2.130	1.489-3.048
Previous diagnosis of high blood pressure	3.778	2.805-5.089
Respiratory infection as a trigger	2.655	1.948-3.618
Respiratory allergy as a trigger	0.159	0.068-0.369
Blood eosinophilia (>260/mm ³)	0.459	0.327-0.644
Arterial blood gases in the emergency department	8.314	5.783-11.954
Treatment with oxygen	7.082	5.142-9.753
Treatment with short acting inhaled β_2 -agonists	1.825	1.290-2.581
Treatment with corticosteroids	2.374	1.741-3.238
Treatment with inhaled ipratropium bromide	1.935	1.372-2.729
Treatment with antibiotics	10.379	7.286-14.787

Discussion

The incidence of asthma exacerbations according to reallife surveys is much higher than in clinical trial settings [4]. Moreover, exacerbations affect patients with poorly controlled asthma irrespective of severity, even in those treated with inhaled corticosteroids [23]. Loss of asthma control usually leads to unscheduled clinical visits; in one study, 70% of uncontrolled asthmatics had an unscheduled visit to a physician, 36% had an ED visit, and 14% had been hospitalized in the previous year [24]. Indeed, experiencing an asthma exacerbation in the previous year is the strongest predictor of future exacerbations in adults [25]. In the population we studied, a large number of asthmatics were receiving SABA monotherapy. Despite the major role of inflammation in asthma and even 15 years after the AIRE study, in which more patients had used rescue medication (63%) than inhaled corticosteroids (23%) in the previous 4 weeks [26], we still find that many patients diagnosed with asthma are not on regular maintenance treatment. This might be a consequence of an overestimation of asthma control that does not match symptom severity. However, the number of patients who had visited the ED during the preceding year was lower in our sample than in other published observational studies [23], and, interestingly, did not significantly predict a new exacerbation during the period analyzed.

A potential limitation of the present study was the lack of data for all the outcomes, which was a consequence of the retrospective design. Therefore, a prospective cohort is warranted to assess the actual influence of previous exacerbation. One of the major strengths, however, is the inclusion of patients seen in the same hospital by the same ED medical team throughout the year, which decreases the risk of bias, even as a result of seasonal patterns. Our sample is representative of real-life practice in our geographic area and indicates that a substantial number of asthmatic patients might not be correctly diagnosed and may be receiving substandard care or even going untreated. It is remarkable that 45% of the patients who experienced an asthma exacerbation in this study had no previous diagnosis of asthma or that this disease had not been adequately entered into the medical record in the ED. We believe that the retrospective character of the study may have influenced data collection, especially since we only considered data recorded in the charts, as is the case in real-world practice, and therefore tried to avoid any interpretation bias by the investigators. In the ASMAB II study [13], only 31% of the patients attending the ED used inhaled corticosteroids regularly. Dominguez-Ortega et al [27] analyzed 83 bronchospasm episodes managed in the ED during a storm in spring: 21% of the patients had no previous recorded diagnosis of asthma, 93% had no regular medical visits, and 61.45% did not receive any treatment for asthma. Serrano-Pariente et al [28] defined 3 different phenotypes of patients who had experienced a near-fatal asthma attack. In cluster 3 in particular, which was characterized by insufficient anti-inflammatory treatment and frequent sensitization to Alternaria alternata and soybean, only 4% of patients had undergone periodic medical monitoring of their asthma, only 30% had received inhaled corticosteroids, and none had followed a written action plan for asthma during the attack [28]. Misdiagnosis of asthma has been reported in stable disease, leading to inappropriate treatment and suboptimal patient outcomes [29], and could affect up to 26% of frequent exacerbators (requiring ≥ 2 ED visits or hospitalization) [30]. It is also remarkable that more than 40% of patients were not referred to a specialist on discharge despite having required urgent attention, thus missing an opportunity for collaboration between ED physicians, allergists, and pulmonologists.

Although it has been reported that women [31] and current smokers [32] are at higher risk of asthma exacerbations, surprisingly, we did not find a high associated prevalence in either group in our study. In contrast, older age and previously uncontrolled disease were more prevalent in both groups. We did not analyze these outcomes independently, since older patients are usually at risk for poorer future asthma control [33]. We also found that, in our population, age was associated with a higher rate of hospitalization. Moreover, in the sample studied, 13.7% of patients had been previously diagnosed with COPD. The prevalence of asthma and COPD overlap syndrome among adults with COPD or asthma ranges from 13% to 30%, and patients with the syndrome usually have severe disease, with increased rates of exacerbation and hospitalization [34]. Accordingly, we found a frequent association between asthma and comorbid COPD in the population we studied. These results are in agreement with those of a recent Italian multicenter observational study conducted in patients older than 65 years with documented physician-diagnosed asthma. The authors highlighted the negative impact of COPD on asthma control [35]. We also found frequent associations with comorbidities that are also more prevalent among the elderly, such as arterial hypertension, diabetes, and psychiatric disorders. The perception of dyspnea has been reported to decrease with worsening asthma, advancing age, and depression status. Patients with major depression had 3.4-fold higher odds of asthma than those with minimal or no depressive symptoms [36]. Other comorbidities are being explored, with GER, atherosclerosis, hypertension, ischemic heart disease, lipid disorders, and neoplastic disease possibly playing a role, as all have been shown to significantly worsen the degree of asthma control [37]. However, further research is needed to assess whether these comorbidities might influence the risk of exacerbation.

Although no biomarkers accurately predict asthma exacerbations, an elevated eosinophil count in sputum or blood has been associated with a higher risk of asthma exacerbation and hospitalization [12]. Eosinophilic asthma is a common phenotype, and the blood eosinophil count may be useful, as it is easy to assess in clinical practice [38]. However, the issue of whether the blood eosinophil count can confirm an eosinophilic phenotype and the optimal cutoff point for an increased risk of exacerbation remain open to debate. Based on previous recommendations [22], we selected a cutoff of 260/mm³. In addition, 300/mm³ has been reported to be a potential biomarker associated with a successful response to omalizumab [39]. Furthermore, in the PREDUNA study [40] (a retrospective cohort study that examined the relationship between blood eosinophil count at baseline and asthma exacerbations in the following 12 months), a cutoff of \geq 400/mm³ was strongly associated with future uncontrolled asthma (exacerbations and excessive SABA use). However, we found no association between blood eosinophil count and presence of exacerbation. This finding is in agreement with the results of Tran et al [41], who did not find a clear association in a 10-year survey of adults, although they did find a clearer trend toward increased asthma attacks after an additional adjustment for levels of exhaled FeNO and treatment for asthma in the previous 3 months [41]. Moreover, neutrophilic inflammation has been consistently observed in acute asthma associated with viral respiratory tract infections [42], in contrast to noninfective causes of asthma, which are characterized by increased IL-5 and eosinophil activation, thus suggesting differential patterns of inflammation depending on the etiology of the exacerbation. It is interesting that eosinophil levels in children were significantly higher in those who reported more asthma attacks (median blood eosinophil count, 300 cells/mm³), suggesting that higher blood eosinophil counts might play a different role in children with asthma than in adults with the disease. A higher rate of allergic asthma could influence these results. In allergic asthma, inflammation is clearly associated with the presence of eosinophils in the airway and characteristic T_H2 cytokine expression [43]. As expected, we found allergic asthma to be significantly associated with a higher blood eosinophil cutoff point. Nevertheless, we found a significant inverse association between eosinophil count and risk of admission. This finding differs from those of the pilot study by Hasegawa et al [44], who found that, of 80 patients hospitalized for asthma exacerbation, 32 patients (40%) had blood eosinophilia (300/mm³). However, the study was limited by the inclusion of patients with severe acute asthma in the analytic cohort population, which may suggest that their study population was in poorer health than the overall population of patients hospitalized for asthma exacerbation. In our population, the frequency of infection as a cause of exacerbation was exceedingly high, and this may have influenced eosinophil counts [45]. Moreover, the low frequency of hospitalizations due to acute allergic exposure in this population might also have influenced the results, thus decreasing the impact of eosinophilia in the whole population.

In conclusion, asthma exacerbations generate a significant burden for patients with asthma and for the health care system. In this large, population-based study of asthma exacerbations treated at a tertiary hospital over a 1-year period, we found that several factors were relatively common in asthmatics experiencing exacerbation and could be related to the risk of hospitalization. Older age, absence of a previous asthma diagnosis, uncontrolled disease, and concomitant COPD were frequent among patients with exacerbated asthma. These factors were also associated with a higher risk of admission, as were respiratory infections, severity of the exacerbation, and need for intensive treatment in the ED. Blood eosinophil counts should be considered a specific marker of the asthma phenotype, but not as a predictor of hospital admission. Further studies are warranted to better elucidate the role of each specific variable in predicting asthma exacerbations and risk of hospitalization.

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Conflicts of Interest

The authors declare that they have no conflicts of interest.

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